



23641

PATENT TRADEMARK OFFICE

**BARNES & THORNBURG**

600 One Summit Square  
Fort Wayne, Indiana 46802  
(219) 423-9440

**PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Form: PTO/SB/21 (6-98)

**Customer No.:** 23641

*Group*

*Art Unit:* 2761

*Attorney*

*Docket No.:* 37168/82045

*Applicant:* Jeffrey K. Dellinger, et al.

*Invention:* METHOD AND APPARATUS FOR  
PROVIDING RETIREMENT INCOME  
BENEFITS

*Serial No:* 09/406,290

*Filed:* September 24, 1999

*Examiner:* Unknown

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231

on June 19, 2001

Bobby B. Gillenwater

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**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

**ENCLOSURES (check all that apply)**

- |   |  |
|---|--|
| <input type="checkbox"/> Fee Transmittal Form                                     | <input type="checkbox"/> Certified Copy of Priority Document(s)                      |
| <input type="checkbox"/> Fee Attached   | <input type="checkbox"/> Response to Missing Parts/Incomplete Application            |
| <input type="checkbox"/> Amendment/Response                                       | <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53         |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Assignment Papers (for an Application)                      |
| <input type="checkbox"/> Affidavits/declaration(s)                                | <input type="checkbox"/> Drawing(s)  |
| <input type="checkbox"/> Extension of Time Request                                | <input type="checkbox"/> Licensing-relating Papers                                   |
| <input type="checkbox"/> Express Abandonment Request                              | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition |
| <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement |  |



- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ **Additional Enclosure(s)**  
(please identify below):

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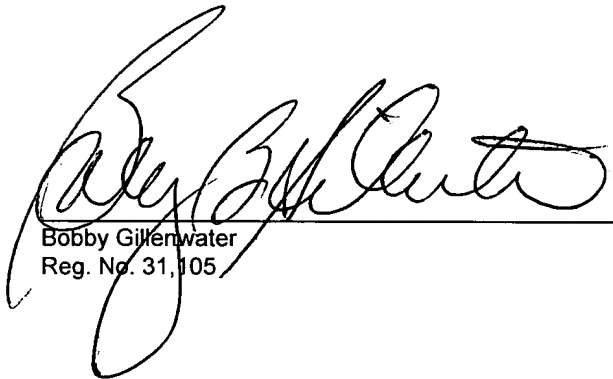
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**Receipt Postcard**  
**Copies of Disclosures (14 patents; and 1**  
**"Other Prior Art").**

Remarks:

Date: June 19, 2001

FWDS01 BBG 140205



Bobby Gillenwater  
Reg. No. 31,105

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants and the undersigned hereby disclose  
to the Examiner the reference listed on the attached Form PTO/SB/08A.

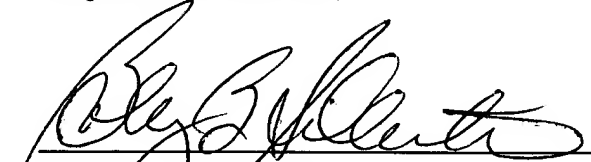
This Supplemental Information Disclosure Statement is being filed more than three months  
of the U.S. filing date BUT before the mailing date of the first Office Action on the merits. No  
certification or fee is required.

No representation is intended that a complete search has been made of the prior art, or that prior art references other than those listed on the attached form are not available. The citation of this reference shall not be construed to be an admission that the information cited in the statement is considered material to patentability of the subject invention.

Please credit any overpayments or charge any additional fees to the Deposit Account of Barnes & Thornburg, Account Number 02-1010.



Respectfully submitted,

  
Bobby B. Gillenwater  
Reg. No. 31,105  
(219) 423-9440